CATERPILLAR, INC.

EXECUTIVE ORDER U-R-001-0240 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)		
2004	4CPXL27.0MRS	27.0	Diesel	8000		
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION			
Direct Dies	el Injection, Turbocharg and Engine Control I	er, Charge Air Cooler Module	Generator			

The engine models and codes are attached.

The following are the exhaust certification standards (STD) or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER	EMISSION STANDARD		EXHAUST (g/kw-hr)				OPACITY (%)			
CLASS	CATEGORY		HC	NOx	NMHC+NOx	СО	PM	ACCEL	LUG	PEAK
KW > 560	Tier 1	STD	1.3	9.2	N/A	11.4	0.54	N/A	N/A	N/A
		FEL	N/A	N/A	N/A	N/A	0.23	N/A	N/A	N/A
		CERT	0.2	7.7		1.3	0.19			

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this

1574

day of December 2003.

Allen Lyons, Chief

Mobile Source Operations Division

Engine Model Summary Form ATTACHMENT 1 OF 1

Nonroad Over 50 Hp CATERPILLAR INC. Engine category: Manufacturer:

EPA Engine Family. 4CPXL27.0MRS

Mfr Family Name: NA

. New Submission Process Code:

WR-001-0240

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8.Fuel Rate: 9.Emission Control (lbs/hr)@peak torque Device Per SAE J1930		EM, DI, TC, ECM, C4c	EM, DI, TC, ECM				
8.Fuel Rate: (lbs/hr)@peak torque	may change.	N/A	409.4	367.1	N/A	367	
7.Fuel Rate: mm/stroke@peak torque	these fuel rates	N/A	338	341	N/A	341	
6.Torque @ RPM (SEA Gross)	ion engine avgs. these fuel rates	ΑN	N/A	3321@1600	N/A	3425 @ 1600	
5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	Due to product-	445.1	409.4	472.0	422.0	472.0	
4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	nominal values.	368	338	334	348	334	
3.BHP@RPM (SAE Gross)		1210 @ 1800	1136 @ 1800	1250 @ 2100	1210 @ 1800	1250 @ 2100	
1.Engine Code 2.Engine Model	Note: Peak Hp and Peak Torque	3412	3412	3412	3412	3412	
1.Engine Code	Note: Peak Hp	1 - Cert Engine	2	က	4	വ	